

P20422.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P20422	Total Pages
Inventor(s) or Applicant Identifier	Terry ALAN, William S. BOWLING, Timothy R. KLOS, Randall S. LEET, Gary J. MARTENS, Susan MERZ	
Title:	METHOD AND SYSTEM FOR PROVISIONING DIGITAL SUBSCRIBER LINE FACILITIES	

JC979 U.S. PTO
09/85/722
05/14/01

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <ul style="list-style-type: none"> a. <input type="checkbox"/> Small Entity Statement(s) 	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
<input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 	10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
<input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 6]	11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
<input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3] <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) <input checked="" type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 	12. <input type="checkbox"/> English Translation Document (if applicable)
<input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	14. <input type="checkbox"/> Preliminary Amendment
8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)	15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	16. <input checked="" type="checkbox"/> Figure of Drawing to be published 1
	17. <input type="checkbox"/> Foreign priority claimed <ul style="list-style-type: none"> a. <input type="checkbox"/> Claim of Priority b. <input type="checkbox"/> Certified Copy of Priority Document(s)
	18. <input checked="" type="checkbox"/> Assignee: <u>SBC Technology Resources, Inc. of Austin, TEXAS</u>
	19. <input checked="" type="checkbox"/> Other: <u>Cover Letter under 37 CFR 1.53(b) and (f)</u>
	_____ _____ _____
20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. _____ / _____, filed _____. <i>Prior application information: Examiner: _____ Group Art Unit: _____</i>	
21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____ / _____, filed _____.	

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

5/14/01

Date

Kodie H. Bernstein, Reg. No. 33329
Signature
33329

Bruce H. Bernstein, Reg No. 29,027
Typed or Printed Name

FEE TRANSMITTAL

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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-0089</u> Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u>				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Prior to Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for response within 1st month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for response within 2nd month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for response within 3rd month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for response within 4th month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Extension for response within 5th month</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Request for oral hearing</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Design issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Plant issue fee</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Submission of IDS</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td colspan="4">SUBTOTAL (3) (\$ <u>0.00</u>)</td></tr> <tr> <td colspan="4"> 2. CLAIMS Total Claims <u>38</u> -20= <u>18</u> x <u>18</u> = <u>324</u> Independent <u>6</u> -3= <u>3</u> x <u>80</u> = <u>240</u> Claims Multiple Dependent Claims _____ x _____ = <u>0</u> </td> <td colspan="4"> Other fee (specify) _____ *Reduced by Basic Filing Fee paid </td> </tr> <tr> <td colspan="4"> Large Entity Fee Code (\$) 103 18 203 9 102 80 202 40 104 270 204 135 109 80 209 40 110 18 210 9 </td> <td colspan="4"> Fee Description Claims in excess of 20 Independent claims in excess of 3 Multiple dependent claim Reissue independent claims over original patent Reissue claims in excess of 20 and over original patent </td> </tr> <tr> <td colspan="4">SUBTOTAL (2) (\$ <u>564</u>)</td> <td colspan="4"></td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR		113	1,840*	113	1,840*	Prior to Examiner action		115	110	215	55	Requesting publication of SIR after Examiner action		116	390	216	195	Extension for response within 1st month		117	890	217	445	Extension for response within 2nd month		118	1,390	218	695	Extension for response within 3rd month		128	1,890	228	945	Extension for response within 4th month		119	310	219	155	Extension for response within 5th month		120	310	220	155	Notice of Appeal		121	270	221	135	Filing a brief in support of an appeal		138	1,510	138	1,510	Request for oral hearing		140	110	240	55	Petition to institute a public use proceeding		141	1,240	241	620	Petition to revive unavoidably abandoned application		142	1,240	242	620	Petition to revive unintentionally abandoned application		143	440	243	220	Utility issue fee (or reissue)		144	600	244	300	Design issue fee		122	130	122	130	Plant issue fee		123	50	123	50	Petitions to the Commissioner		126	240	126	240	Petitions related to provisional applications		581	40	581	40	Submission of IDS		146	710	246	355	Recording each patent assignment per property (times number of properties)		149	710	249	355	Filing a submission after final rejection (37 CFR 1.129(a))		179	710	279	355	For each additional invention to be examined (37 CFR 1.129(b))		169	900	169	900	Request for Continued Examination (RCE)		Other fee (specify) _____				SUBTOTAL (3) (\$ <u>0.00</u>)				2. 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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		Bruce H. Bernstein		Reg. Number	29,027
Signature		Leslie J. Paperman Reg. No. <u>33,329</u>		Date	5/14/61
				Deposit Account User ID	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :T. ALAN et al.

Serial No : Not Yet Assigned

Filed : Concurrently Herewith

For :METHOD AND SYSTEM FOR PROVISIONING DIGITAL SUBSCRIBER
LINE FACILITIES

COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION
FILED UNDER 37 C.F.R. 1.53(b)and 1.53(f)

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b)and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. An executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to this, a correspondence address is provided in the unexecuted Declaration and Power of Attorney, and is as follows:

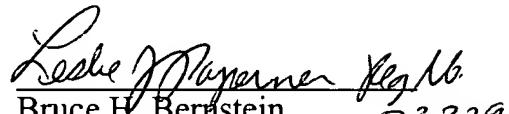
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, Va. 20191

The above-identified application includes:

- 52 pages of specification (including Abstract);
- 38 total claims; with 6 independent;
- 6 sheets of drawings with 6 figures;
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,
T. ALAN et al.


Bruce H. Bernstein
Reg. No. 29,027

May 14, 2001
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